



BEFORE THE ARIZONA CORPORATION

In the matter of:

HELMUT WEBER (d/b/a Weber Capital
Management) and VERA WEBER,
husband and wife;

Respondents.

DOCKET NO.

S-20623A-08-0477

STATUS CONFERENCE

At: Phoenix, Arizona

Date: January 21, 2009

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By: JODY L. LENSCHOW, RMR, CRR

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1 BE IT REMEMBERED that the above-entitled and
2 numbered matter came on regularly to be heard before the
3 Arizona Corporation Commission, in Hearing Room 1 of said
4 Commission, 1200 West Washington Street, Phoenix, Arizona,
5 commencing at 9:39 a.m. on the 21st day of January, 2009.

6
7 BEFORE: Marc E. Stern, Administrative Law Judge

8
9 APPEARANCES:

10 For the Arizona Corporation Commission:

11 Ms. Aikaterine Vervilos
12 Staff Attorney, Securities Division
13 1300 West Washington Street
14 Third Floor
15 Phoenix, Arizona 85007-2927

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JODY L. LENSCHOW, RMR, CRR
Certified Reporter
Certificate No. 50192

1 ALJ STERN: We're on the record in the matter of
2 Helmut Weber in Docket No. S-20623A-08-0477. My name is
3 Marc Stern. I'll preside this morning, and this is, I
4 guess, a status conference.

5 Counsel has withdrawn from representing
6 Mr. Weber, and we'll take appearances at this time; and
7 who is present on behalf of the Division?

8 MS. VERVILOS: My name is Aikaterine Vervilos.

9 ALJ STERN: Who is present for the respondents?

10 (No response.)

11 ALJ STERN: I'll note for the record there are
12 no respondents present and there is a Division
13 investigator present with the Division's counsel.

14 Ma'am, do you know where the respondent is
15 today?

16 MS. VERVILOS: Well, Karen, sitting to my left,
17 is not my investigator, but I will go ahead and answer
18 that question, if that's all right, Your Honor.

19 It is believed that Mr. Weber has left the
20 jurisdiction; and at the time that we had the procedural
21 order, he was represented by counsel. He requested a
22 hearing, so the Division requests that we move forward and
23 have the hearing as scheduled.

24 ALJ STERN: In light of the fact that we don't
25 believe he'll be here, I would request that you try and

1 shorten the proceeding somewhat less than the number of
2 days we've allotted and perhaps even compress it into a
3 very short hearing on the day that we appear.

4 If you hear anything further from either Mr. or
5 Mrs. Weber, you can always file something if you resolve
6 the matter, but who knows.

7 MS. VERVILOS: Right, we will do that.

8 ALJ STERN: Okay. Anything else?

9 MS. VERVILOS: No, Your Honor.

10 ALJ STERN: Okay. I guess the date set for
11 hearing is March 16th at 10:00 a.m., and we'll see you
12 here.

13 MS. VERVILOS: Okay. Thank you very much.

14 ALJ STERN: Thank you. That concludes this
15 proceeding.

16 (The status conference concluded at 9:40 a.m.)

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1 STATE OF ARIZONA)
2) ss.
3 COUNTY OF MARICOPA)
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7 I, JODY L. LENSCHOW, RMR, CRR, Certified
8 Reporter No. 50192 for the State of Arizona, do hereby
9 certify that the foregoing printed pages constitute a
10 full, true and accurate transcript of the proceedings had
11 in the foregoing matter, all done to the best of my skill
12 and ability.

13 WITNESS my hand this 28th day of January, 2009.
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19 JODY L. LENSCHOW, RMR, CRR
20 Certified Reporter
21 Certificate No. 50192
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